

<b>Report to:</b>	<b>FINANCE AND AUDIT COMMITTEE</b>
<b>Relevant Officer:</b>	Tracy Greenhalgh – Chief Internal Auditor
<b>Date of Meeting</b>	27 <sup>th</sup> November 2014

## **RISK SERVICES QUARTER TWO REPORT - 2014/2015**

### **1.0 Purpose of the report:**

1.1 The report presents a quarterly summary of work undertaken by Risk Services. This is submitted in line with best practice, including the CIPFA audit code and the CIPFA Audit Committee guidance.

### **2.0 Recommendation(s):**

2.1 To note the Risk Services Quarterly Report findings.

### **3.0 Reasons for recommendation(s):**

3.1 To enable the Committee to receive an update report on the work undertaken by Risk Services.

3.2a Is the recommendation contrary to a plan or strategy adopted or approved by the Council? No

3.2b Is the recommendation in accordance with the Council's approved budget? Yes

3.3 Other alternative options to be considered.  
None, as the report needs to be considered by the Committee in line with best practice, including the CIPFA audit code and the CIPFA Audit Committee guidance.

### **4.0 Council Priority:**

4.1 The relevant Council Priority is 'Deliver quality services through a professional, well-rewarded and motivated workforce'.

### **5.0 Background Information**

5.1 The report covers areas relevant to the work of the Committee in terms of internal audit, fraud investigation, risk management and emergency and business continuity

planning.

- 5.2 Further details on any areas included in the report, and in particular expanded summaries or full copies of audit reports, are available to the Committee as required.

Does the information submitted include any exempt information? No

**List of Appendices:**

Appendix 10a – Risk Services Quarter 2 Report.

**6.0 Legal considerations:**

- 6.1 All work undertaken by Risk Services is in line with relevant legislation. This is particularly important when undertaking fraud investigations where a number of regulations need to be adhered to.

**7.0 Human Resources considerations:**

- 7.1 None

**8.0 Equalities considerations:**

- 8.1 None

**9.0 Financial considerations:**

- 9.1 All work has been delivered within the agreed budget for Risk Services.

**10.0 Risk management considerations:**

- 10.1 The primary role of Risk Services is to provide assurance that the Council is effectively managing its risks and provide support to all services in relation to risk and control. Risks which have been identified in the quarter are reported in the summary report.

**11.0 Ethical considerations:**

- 11.1 None

**12.0 Internal/ External Consultation undertaken:**

- 12.1 The Risk Services Quarterly Report was presented to the Resources Directorate Management Team on the 21<sup>st</sup> October 2014 and the Corporate Leadership Team on the 3<sup>rd</sup> November 2014.

**13.0 Background papers:**

13.1 None